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PTO/SB/21 (08-00)

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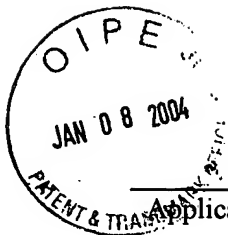
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/726,047
	<b>Filing Date</b>	12/2/2003
	<b>First Named Inventor</b>	Bordia
	<b>Group Art Unit</b>	Not yet known
	<b>Examiner Name</b>	Not yet known
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	260-002
	<b>IBM Docket No.</b>	LOT9-2003-0099US1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form 1449, and references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Appointment of Associate Agent; Power of Attorney; Statement Under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <b>Remarks</b> Please charge any deficiency or credit any overpayment to Deposit Account No. 502569.	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Receipt Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David A. Dagg, Reg. No. 37,809 Steubing McGuinness & Manaras LLP
Signature	<i>David A. Dagg</i>
Date	JANUARY 6, 2003

CERTIFICATE OF MAILING OR FACSIMILE			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 33212 or being facsimile transmitted to the United States Patent and Trademark Office at number (703) on this date:			
Type or printed name	Carol Ann Mahoney	Date	1/6/04
Signature	<i>Carol Ann Mahoney</i>		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bordia

Serial No.: 10/726047

Filed: 12/02/2003

Title: A Method and System for Excluding  
Recipients in an Electronic  
Communication System

Attorney Docket No.: 260-002

IBM No. LOT9-2003-0099US1

Group Art Unit: not yet known

Examiner: not yet known

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

X under 37 CFR 1.97(b) before mailing date of first office action on the merits. No fee is required.

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

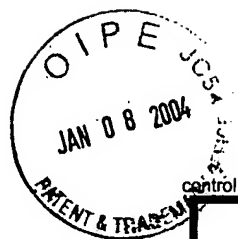
The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

JANUARY 6, 2003  
Date

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	10/726047
				<b>Filing Date</b>	12/02/2003
				<b>First Named Inventor</b>	Bordia
				<b>Art Unit</b>	Not yet known
				<b>Examiner Name</b>	Not yet known
				<b>Attorney Docket Number</b>	260-002 LOT9-2003-0099US1
<b>Sheet</b>	1	<b>of</b>	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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		<p>"Email Address Exclusion in an Email Group," disclosure of International Business Machines Corporation on IP.com, June 22, 2003, 1 page</p> <p>Webpages: <a href="http://www.glocksoft.com/em/ug_exclude_recipient.htm">www.glocksoft.com/em/ug_exclude_recipient.htm</a>; pages 1-3, G-Lock Software, downloaded 1/6/04</p> <p><a href="http://www.craigrichards.com/software/bigsender/userguide/composer/broadcasting.html">www.craigrichards.com/software/bigsender/userguide/composer/broadcasting.html</a>; BigSender Administrator Guide, pages 1-3, downloaded 1/6/2004</p> <p><a href="http://www.infacta.com/t_subscriber.asp">www.infacta.com/t_subscriber.asp</a>; Infacta, Tutorials - Using the Subscriber Plug-in; pages 1-10, downloaded 1/6/2004</p> <p><a href="http://www.4marketeers.com/NJEmails/guidelines.asp">www.4marketeers.com/NJEmails/guidelines.asp</a>; Guidelines for Maximizing Response to Non-job Email Blast, pages 1-6; downloaded 1/6/2004</p> <p><a href="http://www.cooleremail.com/features_exclude.shtml">www.cooleremail.com/features_exclude.shtml</a>; Cooler Email "Exclude from List" feature, pages 1-2; downloaded 1/6/2004</p> <p><a href="http://www.worldminer.com/webemail.htm">www.worldminer.com/webemail.htm</a>; WebEmail Miner; pages 1-4; downloaded 1/6/2004</p>	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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